

Overcoming the challenges of applying target enrichment for translational research

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Target enrichment is used to describe a variety of strategies to selectively isolate specific genomic regions of interest for sequencing analysis. The wide array of approaches presents challenges in selecting the appropriate technology for the growing number of research and clinical applications to which the sequencing data will ultimately be applied.

INTRODUCTION

In recent years, several techniques have emerged to enrich for specific genes of interest. When determining the appropriate target enrichment technology to use, one must first consider the primary goal of the study. For example, different approaches will be used if the aim is to identify known variants already shown to have clinical implications versus discovering novel nucleic acid variants that may be associated with a given phenotype. Variant identification lends itself to more focused enrichment strategies, while variant discovery is driven by trade-offs between sequencing costs and target territory, as well as available sample cohort sizes for a given study.

As translational research seeks to bridge fundamental laboratory research and clinical treatment regimens for patients, there is an emerging need to balance discovery of novel nucleic acid variants, identification of known variants, and studies aimed at revealing associations with clinical phenotypes. Recent advances in sequencing technologies have revolutionized the field of genomic research, making tractable the application of whole genome and whole exome sequencing for broad discovery of germline genomic variants. However, despite these advances, the oncology field is fraught with the complexity of detangling the underpinnings of tumorigenesis, progression, and resistance mechanisms driven by somatic variants present at extremely low abundance in mixtures of malignant and stromal cells. These complexities necessitate increases in the depth of sequencing coverage to confidently call somatic variants, making broader scale approaches infeasible from an economic and practical standpoint.

To overcome these challenges, focused gene panels are being applied to patient samples. The size of the panel is highly variable, trending toward decreased genomic content as assays progress from pure research and discovery applications to clinical diagnostic assays. Furthermore, clinical applications raise the question of incidental findings and how to report them, introducing challenges for diagnostic assays based on sequencing entire genomes. This trend demon-

strates the practical need for continued use of target enrichment strategies across the gamut of translational research activities.

TARGET ENRICHMENT APPROACHES

There are a number of different target enrichment approaches that can be grouped into three generalized categories: in-solution hybridization, multiplex PCR, and “alternative approaches”, which span a wide variety of techniques.

In-solution hybridization-based approaches, originally developed for whole exome sequencing use biotinylated oligonucleotides to capture genomic regions of interest (1). Commercially available kits use DNA or RNA baits ranging from 50-150 nucleotides. Researchers have adapted this technique for more focused panels, ranging down to tens of kilobases in target territory with limited success in maintaining specificity for target regions.

Multiplex PCR-based enrichment is most often employed for highly focused panels targeting a smaller territory than in-solution hybridization, and is typically limited to 150-200 amplicons (2). Using a pool of primers, enrichment is accomplished through PCR amplification of the targeted regions, which is followed by adaptor ligation or a second round of PCR using tailed primers to include sequencing adaptors. Scaling this technology has presented a challenge in maintaining target coverage uniformity.

A number of alternative approaches have been developed in an attempt to bridge the gap between hybridization and PCR-based approaches.

Examples of these hybrid approaches include multiplex extension ligation (3), molecular inversion probes (MIPs)/padlock probes (4), nested patch PCR (5), and selector probes (6). These technologies can be broadly characterized as having more complex workflows, requiring splitting of samples into separate reactions, and creating challenges in target coverage uniformity.

NEBNext Direct® for target enrichment

NEBNext Direct is a novel target enrichment method that addresses several drawbacks that exist in alternative enrichment technologies (Table 1). Enrichment is achieved through direct hybridization of biotinylated DNA baits to denatured, fragmented molecules, which are subsequently captured using magnetic streptavidin beads (Figure 1, page 3). Unlike alternative in-solution hybridization protocols, the NEBNext Direct protocol does not require library preparation prior to hybridization of oligonucleotide probes. This feature reduces the overall amount of amplification that is required throughout the protocol and enables single-stranded DNA to be captured along with denatured, double-stranded DNA.

Conversion of captured fragments to sequence-ready libraries is achieved by the ligation of a loop adaptor to the proximal 3' end of the captured molecule. During these steps, the bait / target molecules remain bound to the magnetic streptavidin beads and are processed in a single reaction tube. This eliminates sample loss and improves overall conversion efficiency.

 **TABLE 1:**
Enrichment Challenges and Advantages of NEBNext Direct

| Challenge | NEBNext Direct Advantage |
|---------------------------------|---|
| Specificity across panel sizes | Enzymatic removal of off-target sequence |
| Uniformity of coverage | Individual synthesis of baits & empirical balancing |
| Sensitivity to detect variants | Unique Molecule Indexes for PCR duplicate marking & consensus variant calling |
| Degraded or low quality samples | Short baits that extend across molecules, targeting both DNA strands |

Following ligation of the 3' adaptor, the bait is extended across the entirety of the captured molecule, resulting in double stranded DNA that is ready for ligation of the 5' unique molecular identifier (UMI) adaptor. This adaptor contains a 12 bp random sequence that is incorporated discretely into each molecule, indexing each molecule prior to amplification. This index can be used to identify duplicate molecules, thereby reducing artifacts that can lead to false positive variant calls.

Once the 5' adaptor is ligated, the 3' loop adaptor is cleaved, and the target molecule is PCR amplified off of the bait complex. It is important to note that the bait strand is not perpetuated through the PCR amplification and is not present in the final, sequencer-ready library.

The coverage plots of NEBNext Direct libraries are unique for a hybridization-based approach in that reads have a defined 3' end, resulting in coverage plots that resemble PCR-based libraries, yet the approach allows for flexibility in tiling across longer targets. Disambiguation of PCR duplicates is accomplished by two features of the NEBNext Direct library: A variable 5' end and a 12 bp randomized UMI that is incorporated into the 5' adaptor.

CHALLENGES OF TARGET ENRICHMENT FOR TRANSLATIONAL RESEARCH

Specificity of target enrichment

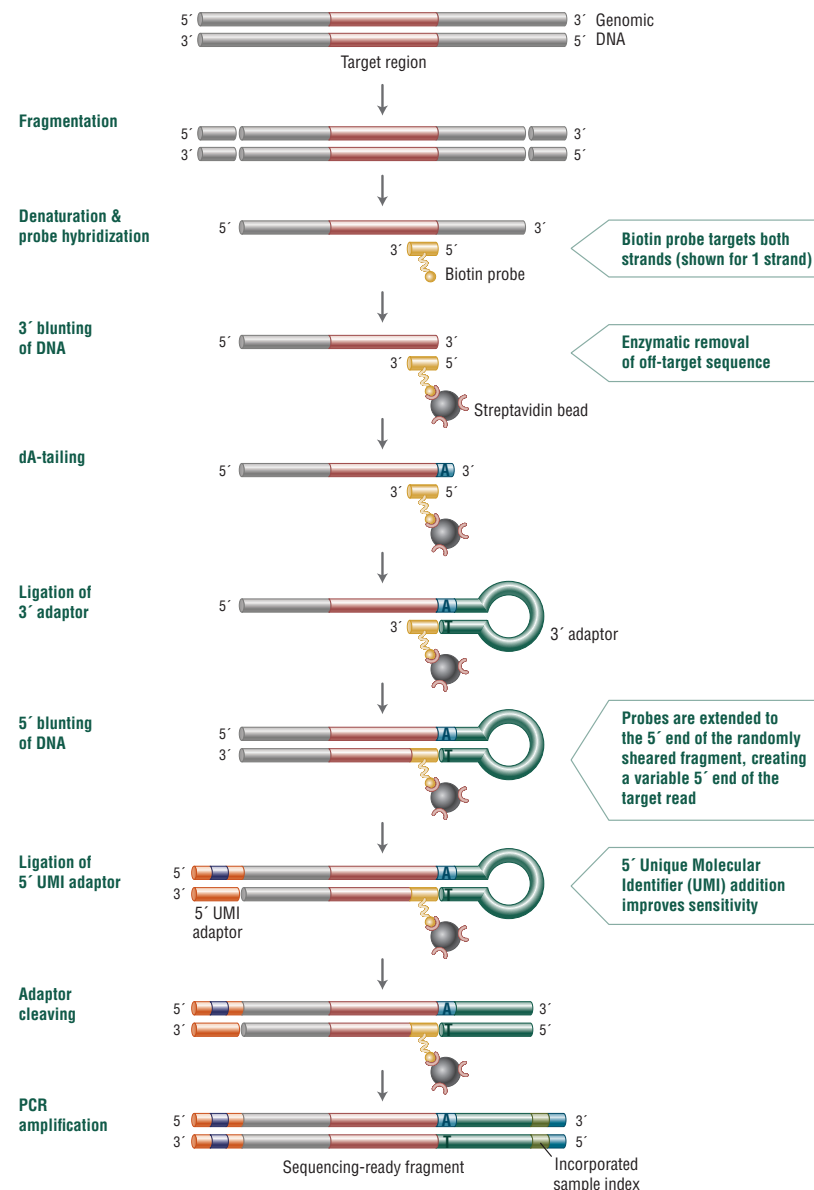
For any study that necessitates enrichment of specific targets over more comprehensive sequencing approaches, specificity becomes more important as it directly translates to the amount of sequencing required to achieve the minimum coverage threshold to reliably detect variants of a given frequency. Specificity is typically measured by looking at the percentage of sequencing data that is derived from the targeted regions relative to the data that is aligned to other parts of the reference genome.

Enrichment of genomic regions is typically achieved by either amplifying the desired regions through PCR to generate enough copies of the targeted regions over the untargeted regions, or through hybridization of complementary biotinylated oligonucleotide probes to fragmented DNA molecules, where specificity is driven through careful control of melting temperatures and buffer composition to promote hybridization.

Specificity for target regions is enhanced using NEBNext Direct through both the hybridization of specific baits, as well as through enzymatic removal of off-target sequence. The enzymatic treatment removes both off-target sequence of molecules unbound to baits, as well as the regions of molecules upstream of where the baits are bound. This additional means of driving specificity enables the bait hybridization to be



FIGURE 1:
NEBNext Direct target enrichment workflow



shorter, lasting only 90 minutes in duration. This differs from a typical hybridization-based approach, in which randomly fragmented molecules are captured overnight, and without any removal of upstream off-target sequences, read coverage resembles a normal distribution.

While specificity for targeted regions using traditional hybridization approaches is typically quite high for larger panels up to whole exome, specificity typically decreases as the size of the targeted region decreases. Thus, smaller panels typically result in an increased proportion of sequencing lost to off-target regions. In contrast, the NEBNext Direct approach maintains high specificity across a broad range of target territory, from single genes or exons to hundreds of kilobases, eliminating the need to use different technologies for different panels (Table 2, page 4).

Uniformity of coverage across targets

One of the drawbacks to many available target enrichment methods is the inability to enrich different targets with equivalent efficiency. The result requires an increase in the overall coverage for all targets to achieve the minimum depth of coverage required to reliably call variants. One of the main factors influencing coverage unevenness is the sequence composition of the targeted regions themselves, with different efficiencies for sequences comprised of GC or AT rich regions.

Depending on the approach, the target enrichment strategy being employed may be more or less susceptible to the need for balancing melting temperatures across any complementary oligonucleotide baits or PCR primers that are employed in the enrichment process. Challenges

in uniformity can also arise from any downstream PCR that is used to generate sufficient material for the sequencing process, as various DNA polymerases demonstrate biases toward targets that may include secondary structure.

Using Multiplex PCR-based workflows, primer design is limiting as melting temperatures must match within each panel and primer-primer interactions and primer cross-talk must be considered. These constraints can lead to variations in coverage uniformity between targets. Partitioning individual amplification reactions into emulsion droplets can alleviate some of these constraints and improve target uniformity (12), but this approach requires investment in instrumentation as well as additional workflow steps.

Oligonucleotides utilized during NEBNext Direct enrichment are individually synthesized, which enables bait pools to be carefully optimized based on empirical testing. Individual baits are balanced, allowing fine tuning of target coverage. Additionally, the bait design algorithm optimizes new bait design based on outcomes from prior bait design results. Further, because the specificity is not solely driven through melting temperatures alone, NEBNext Direct allows increased flexibility in bait design.

The result is coverage across targets that can be optimized, demonstrating high degrees of uniformity and diminishing the overall amount of sequencing required to identify nucleic acid variants (Figure 2).

Sensitivity to detect nucleic acid variants

Perhaps the most critical aspect is the sensitivity of an approach to detect nucleic acid variants, as this is often the primary goal of studies in humans where target enrichment is employed. This is measured as the ability of an assay to

detect nucleic acid variants that are present at a given frequency, referred to as variant allele frequency (VAF) or mutation allele frequency (MAF). Biologically, in the context of solid tumors, this is a function of the mixture of stromal and tumor cells, as well as the heterogeneity of tumor cells, and the existence of subclonal variants that are associated with tumorigenesis. Utilization of sequence data for the approximation of allele frequency is achieved through counting of sequence reads that possess a given variant. Quantitative assessment of sequence reads is challenged through the presence of duplicate molecules, or molecules that are identified through sequencing as having the same genomic coordinates. Depending on the target enrichment method that was employed to prepare the samples for sequencing, disambiguation of molecules that have arisen from discrete copies of genomic DNA versus those resulting from PCR amplification can be difficult or impossible to ascertain.

Disambiguation of PCR duplicates is accomplished by two features of the NEBNext Direct library: A variable 5' end and a 12 bp randomized UMI that is incorporated into the 5' adaptor. The amount of coverage one can expect from a given panel should be measured once duplicate molecules are removed in order to determine if the coverage is deep enough to reliably call a variant as a true-positive variant (Figure 3, page 5).

Difficult sample types

Whether for research or clinical applications, translational genomics often examines samples that are derived from patients. Patient tissue can be compromised by processes used to collect, preserve, store, extract nucleic acids from, and ultimately prepare for sequencing-based assays.

TABLE 2:
Specificity and uniformity of NEBNext Direct panels

| Panel Size (kb) | Specificity (% Reads on Target) | Uniformity (% bp >20% MTC*) |
|-----------------|---------------------------------|-----------------------------|
| 15.2 | 99.4 | 99.3 |
| 15.9 | 96.1 | 100 |
| 20.4 | 99 | 99.5 |
| 36.8 | 92.5 | 98.7 |
| 76.4 | 91 | 98.5 |
| 93 | 95.9 | 99.35 |
| 217 | 90 | 99.23 |

* bp – base pairs MTC – Mean Target Coverage

The most widely used technique for the storage and preservation of tissue derived from patient samples involves fixing the tissue in formalin, and embedding the fixed sample in paraffin. DNA derived from formalin-fixed, paraffin embedded (FFPE) samples has been shown to contain varying degrees of degradation, accumulation of base-specific errors, DNA breaks with damaged ends, and are often present in extremely low quantities (7-9). The recent application of target enrichment to circulating cell-free DNA molecules offers a less invasive means of monitoring cancer progression. Cell-free DNA derived from solid tumors is biologically present in relatively short fragments of 150-160 bp, which can present challenges using traditional enrichment approaches as both cell-free and FFPE tissue-derived nucleic acids contain high amounts of ssDNA (10, 11).

Using in-solution hybridization based enrichment presents challenges, as an upfront library must be prepared prior to hybridization to long (>100 bp) baits, and can result in sample loss. Moreover, degradation of FFPE derived nucleic acids can create shorter library inserts not optimal for hybridization to longer baits. Finally, the initial library generation step requires dsDNA; thus, the approach disregards ssDNA that may be present in the original sample due to DNA damage.

Multiplex PCR also presents challenges in targeting degraded samples, as the ability to successfully anneal both primers on a given molecule is difficult as DNA input molecule length is decreased due to degradation.

The short (~45-55 nucleotide) baits used in NEBNext Direct enrichment provide an increased probability of binding to shorter fragments, and the independent targeting of both strands of DNA offers improved opportunity to capture degraded fragments. The approach also contains an optional phosphorylation step to ensure the ends of target DNA are prepared for ligation of adaptors.

FIGURE 2:
NEBNext direct delivers higher coverage uniformity than alternative approaches.

Plot shows the uniformity across targets for each panel, measured as the percentage of bases above 25% of the mean target coverage. Samples were processed in duplicate according to the manufacturer's suggested protocol using the recommended amount of DNA input. DNA used was a blend of 24 HapMap samples. Samples were sequenced on an Illumina® MiSeq® per the manufacturer recommendation. Representative data across 2 replicates are shown.

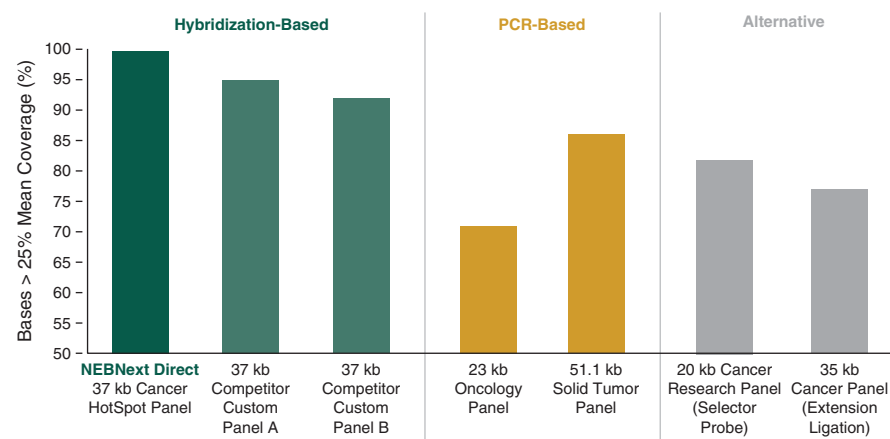
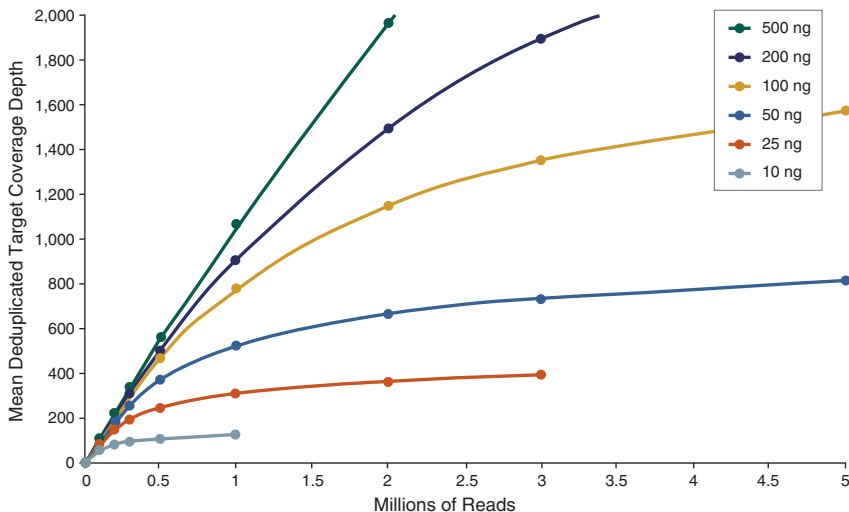




FIGURE 3:
NEBNext Direct is able to achieve high depths of sequence coverage across a broad range of inputs.

Mean depth of coverage relative to sequencing depth is shown across a range of DNA inputs. A blend of 24 HapMap samples were enriched using the 37 kb NEBNext Direct Cancer HotSpot Panel and sequenced on an Illumina MiSeq using 2 x 75 base pair sequencing. Coverage is shown after the removal of PCR duplicates using the information from the unique molecular identifier (UMI).



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CONCLUSION

NEBNext Direct target enrichment overcomes several challenges translational researchers face in selectively enriching for certain genomic targets for clinical research. Providing the flexibility to use a single approach across a wide range of target content, NEBNext Direct allows enrichment of a single gene, up to panels comprised of hundreds of genes, without compromising performance as targets change. NEBNext Direct provides the specificity and coverage uniformity to maximize sequencing efficiency, in order to realize the benefits of target enrichment. Furthermore, intrinsic properties of the approach lend themselves to improved sensitivity, and have proven amenable to challenging sample types, typical of translational workflows. Combining the best aspects of hybridization-based enrichment and multiplex PCR enrichment, without the trade-offs, NEBNext Direct is a single-day, easy-to-use protocol that can be applied to advance translational research.

Learn more about NEBNext Direct
at NEBNextDirect.com

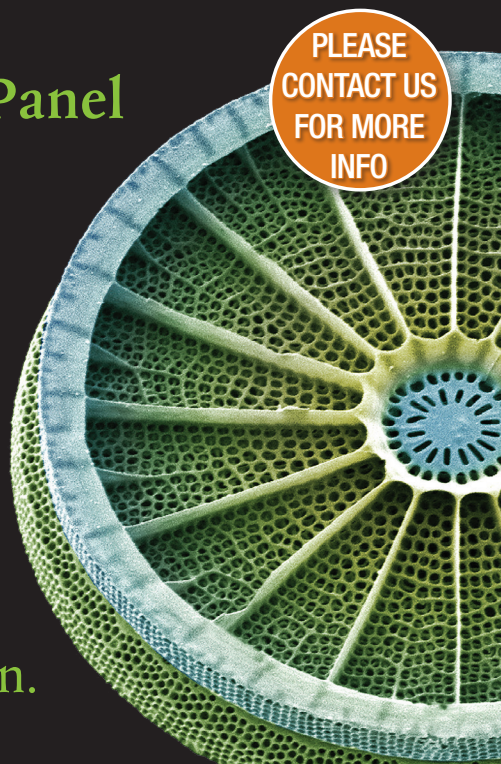
Combined Target Enrichment & NGS Library Prep

NEBNext Direct[®] Cancer HotSpot Panel

Benefits:

- 1-day workflow with high on-target rates
- Eliminate the need to over-sequence & obtain uniform sequencing of all targets, regardless of GC content
- Compatible with degraded DNA samples (e.g. FFPE, ctDNA, Plasma DNA)

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